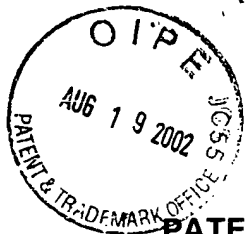


Serial No. 09/896783

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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Inventor(s): Clyde George Bethea  
Federico Capasso  
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Case: 25-66-105-20-29-1-3-35-14-1

Serial No.: 09/896783

Filing Date: June 29, 2001

Examiner:

Group Art Unit: 2881

Title: Data Transmission Via Direct Modulation Of A Mid-IR Laser

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231

SIR:

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(b)**

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

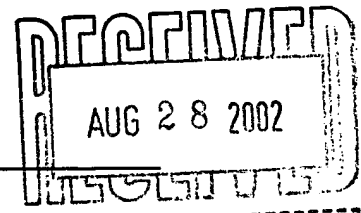
Copies of the listed documents are enclosed.

**NO FEE IS REQUIRED**

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Lucent Technologies Deposit Account No. 12-2325 as required to correct the error.

Respectfully,

*John McCabe*  
John F. McCabe, Attorney  
Reg. No. 42854  
908-582-6866



Date: Aug. 9, 2002  
Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks, Washington, D.C. 20231, on August 9, 2002  
(Date of Deposit)  
*per [signature]* August 9, 2002  
Date